



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

George M. Johnson; Ross

Confirmation No.

7813

Tsukashima; John T.

Kilcoyne; Mathew T. Yurek; Scott Harris; Philip

J. Simpson

Serial No.:

09/702,002

Filed:

October 30, 2000

Customer No.:

28863

Examiner:

John P. Lacyk

Group Art Unit:

3735

Docket No.:

1065-011US04

Title:

METHOD AND DEVICE FOR TREATING GASTROESOPHAGEAL

REFLUX DISEASE

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on

Angela Watson

MAIL STOP ISSUE FEE Commissioner for Patents Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached correspondence relating to this application:

Transmittal sheet containing Certificate of Mailing

Request for Corrected Filing Receipt (2 pgs.)

Exhibits A-E

Return postcard

Please apply any charges not covered, or any credits, to Deposit Account No. 50-1778.

Date:

Name

SHUMAKER & SIEFFERT, P.A. 8425 Seasons Parkway, Suite 105

St. Paul, Minnesota 55125 Telephone: 651.735.1100 Facsimile: 651.735.1102

Io.: 54,213





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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Applicant requests correction of the records of the United States Patent and Trademark Office (USPTO) and issuance of a corrected filing receipt. The USPTO has issued a number of filing receipts for the present application. Applicant believes that the most recent was the replacement filing receipt mailed July 3, 2002. A photocopy of the replacement filing receipt showing the two corrections requested by Applicant is attached as Exhibit A.

First, the "Applicant(s)" section of filing receipt should be corrected to add "Philip J. Simpson, Escondidio, CA" as an inventor. A Petition to Correct Inventorship, including all supporting documentation, was submitted to the USPTO on December 11, 2001. A complete copy of this transaction, including a copy of the recorded Assignment and USPTO-stamped return post cards, is attached as Exhibit B for your convenience.

The Office Action mailed July 31, 2002, indicated that the Petition to Correct Inventorship had been granted. The Office Action further indicated that the records of the USPTO would be accordingly corrected, and that a corrected filing receipt would be issued. It

does not appear that either of these actions has been taken. A copy of the Office Action mailed July 31, 2002, is attached as Exhibit C for your convenience.

Second, the "Domestic Priority" section of the filing receipt should include the priority claim properly made by Applicant at the time of filing. The first page of the application as filed, immediately following the Title, includes a statement indicating that the present application is a continuation-in-part of application serial no. 09/524,478, filed on March 13, 2000, which is a continuation-in-part of application serial no. 09/287,607, filed on April 7, 1999. Application serial no. 09/287,607 issued as U.S. Patent No. 6,098,629 on August 8, 2000, and application serial no. 09/524,478 issued as U.S. Patent No. 6,338,345 on January 15, 2002.

The properly-made priority claim was recognized by the USPTO as evidenced by its inclusion on the first filing receipt for the application, which was mailed on February 6, 2001. Later filing receipts, including the most recent replacement filing receipt mailed July 3, 2002, omit the priority claim. The first page of the application as filed and the first filing receipt of the application are attached as Exhibits D and E, respectively, for your convenience.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Date:

SHUMAKER & SIEFFERT, P.A.

8425 Seasons Parkway, Suite 105 St. Paul, Minnesota 55125

Telephone: 651.735.1100 Facsimile: 651.735.1102

EXHIBIT A

AUG 1 4 2006

TES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPIO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET, NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
09/702,002	10/30/2000	3736	613	BQD-003.01	12	37	4	

CONFIRMATION NO. 7813

REPLACEMENT FILING RECEIPT

OC000000008391624

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

Date Mailed: 07/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

George M. Johnson, Santa Ana, CA; John T. Kilcoyne, San Diego, CA; Ross Tsukashima, San Diego, CA; Matthew T. Yurek, San Diego, CA; Scott Harris, San Diego, CA; Philip J. Simpson, Escendido, CA

Domestic Priority data as claimed by applicant
THO APPLICATION IS A CIP OF 09/524, 478 03/3/2000 PAT 6,338,345
WHICH IS A CIP OF 09/267,607 04/07/1999 PAT 6,098,629
Foreign Applications

If Required, Foreign Filing License Granted 02/05/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

Method and device for treating gastroesophageal reflux disease

128

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).